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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application Transmittal

 11046 U.S. PTO
 09/865371
 05/25/01

 Box Patent Application
 Assistant Commissioner for Patents
 Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the Patent Application of:

 Inventor: EARL WALTER EMERICK, LONNY R. OLSON-WILLIAMS, VAN DEE
 SAMMONS, KURT CLIFFORD THOMPSON, PAUL WILLIAM WERTZLER,
 RONALD DEAN YOUNG

 For: METHOD AND APPARATUS UPGRADE ASSISTANCE USING CRITICAL
 HISTORICAL PRODUCT INFORMATION

Enclosed are:

- ☒ 43 sheets of specification and 1 abstract
☒ 35 sheets of drawings
☒ A Declaration and Power of Attorney (Unsigned)
☐ An Information Disclosure Statement and form PTO-1449
☐ A certified copy of a ____ application
☒ An assignment of the invention to International Business Machines Corporation, Armonk,
 New York 10504 and Recordation form PTO-1595 (Unsigned)

The filing fee has been calculated as follows:

Other Than Small Entity

For:	No. Filed	No. Extra	Rate	Fee
Basic Fee				\$710.00
Total Claims	45 -20 =	25	x \$18.00=	\$450.00
Indep. Claims	4 -3 =	1	x \$80.00=	\$ 80.00
<input type="checkbox"/> Multiple Dependent Claim Presented			\$260.00	\$ 0.00
TOTAL				\$ 1240.00

EXPRESS MAIL CERTIFICATE

 Express Mail Label No.: EL849145926US
 Date: May 25, 2001

 I hereby certify that the enclosed or attached paper is being deposited with the U.S. Postal
 Service "Express Mail Post Office to Addressee" service on the above date, addressed to the
 Assistant Commissioner for Patents, Washington, D.C. 20231.

Brian K. Hrna